

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S) : Shodai et al.

TITLE : **CHEWABLE SOFT CAPSULE HAVING
IMPROVED INGESTION PROPERTY AND
METHOD FOR PRODUCING SAME**

APPLICATION NO. : 09/914,340

FILED : February 19, 2002

CONFIRMATION NO. : 3665

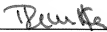
ATTORNEY DOCKET NO. : YAMZ 2 00009

Commissioner for Patents
U.S. Patent and Trademark Office
Alexandria, VA 22313-1450

EFS TRANSMITTAL LETTER

Dear Sir:

In connection with the above-identified application, transmitted herewith are the following:

| | |
|--|---|
| <input type="checkbox"/> New Patent Application, including Transmittal <input type="checkbox"/> Utility <input type="checkbox"/> Design <input type="checkbox"/> Prov. <input type="checkbox"/> Cont. <input type="checkbox"/> CIP <input type="checkbox"/> Div. <input type="checkbox"/> RCE <input type="checkbox"/> 371 Submission pages of Specification pages of Claims (total claims independent claims) pages of Abstract sheets of Formal / Informal Drawings (Figures) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Claim for Right of Priority: App. No.: filed: which claims Priority to App. No.: filed: <input type="checkbox"/> Request & Certification Under 35 U.S.C. 122(b)(2)(B)(i) | <input type="checkbox"/> Information Disclosure Statement, including PTO Form 1449 and Number of References (if required) <input type="checkbox"/> Response to Notice to File Missing Parts <input checked="" type="checkbox"/> Amendment (due: 09/14/07) and <input checked="" type="checkbox"/> Amendment Transmittal Letter <input checked="" type="checkbox"/> Petition for Two Month Extension of Time <input type="checkbox"/> Response to Notice to File Missing Requirements <input type="checkbox"/> Response to Restriction Requirement <input type="checkbox"/> Petition Specify Type <input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Appeal Brief <input type="checkbox"/> Issue Fee and <input type="checkbox"/> Publication Fee <input type="checkbox"/> Other: Specify |
| <input checked="" type="checkbox"/> One or more fees may be paid via credit card through the electronic filing system (EFS-Web) in association with this submission. If any additional fee is determined to be due as a result of the filing of this submission, the Commissioner is hereby authorized to charge any such additional fees associated with this submission to Deposit Account Number 06-0308. | |
| <input checked="" type="checkbox"/> Should any extensions of time under the provisions of 37 CFR 1.136(a)(1)-(5) be required for this submission, the Commissioner is hereby authorized to charge any such extension of time fees to Deposit Account Number 06-0308. | |
| <input checked="" type="checkbox"/> This submission is being filed on the date indicated below by electronic transmission with the United States Patent and Trademark Office via the electronic filing system (EFS-Web). | |
| <u>November 14, 2007</u> Date |  Richard M. Klein, Reg. No. 33,000 |

AMENDMENT TRANSMITTAL LETTER (LARGE ENTITY)

| | | |
|----------------------|--|-------------------------|
| Ser. No.: 09/914,340 | Filed: February 19, 2002 | Examiner: Susan T. Tran |
| Art Unit: 1615 | Title: CHEWABLE SOFT CAPSULE HAVING IMPROVED INGESTION PROPERTY AND METHOD FOR PRODUCING SAME | |

To the Commissioner for Patents:

Transmitted herewith is a (an) Amendment in the above-identified application. The fee(s) has (have) been calculated as shown below.

| CLAIMS AS AMENDED | | | | | | |
|-------------------------------------|---|-------|---------------------------------------|--------------------------------|----------|-----------------|
| | Claims remaining after amendment | | Highest Number Previously Paid For | No. of Extra Claims Present | Rate | Additional Rate |
| Total Claims | 31 | Minus | 40 | 0 | X \$50 | \$0.00 |
| Indep. Claims | 1 | Minus | 3 | 0 | X \$210 | \$0.00 |
| TOTAL | | | | | = | \$0.00 |
| <input type="checkbox"/> | No additional fee is believed due however, if this is not the case, the Commissioner is hereby authorized to charge any and all fees to Deposit Account No. 06-0308. | | | | | |
| <input checked="" type="checkbox"/> | Payment for the filing of this Amendment and/or any appropriate extension of time fee is/are authorized to be charged to a Credit Card. The fee(s) is/are being submitted via EFS-Web. If the Credit Card is unable to be charged, please charge any and all fees or credit any overpayment to Deposit Account No. 06-0308. If there are any additional fees required by this communication, please charge same to Deposit Account No. 06-0308. | | | | | |
| <input checked="" type="checkbox"/> | Applicant(s) hereby petition(s) the Commissioner under 37 C.F.R. § 1.136(a) and request a two month extension of time to respond to the outstanding Office Action. | | | | | |

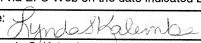
Respectfully submitted,

Fay Sharpe LLP

November 14, 2007

Date


 Richard M. Klein, Reg. No. 33,000
 1100 Superior Avenue
 Seventh Floor
 Cleveland, OH 44114-2579
 216-861-5582

| Certificate of Mailing or Transmission | |
|--|--|
| I hereby certify that this correspondence (and any item referred to herein as being attached or enclosed) is (are) being | |
| <input type="checkbox"/> | deposited with the United States Postal Service as First Class Mail, addressed to: Mail Stop None, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below. |
| <input checked="" type="checkbox"/> | transmitted to the USPTO by electronic transmission via EFS-Web on the date indicated below. |
| Signature:  | |
| Date: November 14, 2007 | Name: Lynda S. Kalemba |